

#### Conceptual Communication

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All authors discussed the ABC of hypoxia in a workshop and contributed to completing the manuscript.

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The authors declare they have no conflicts of interest. EG is founder and CEO of Oroboros Instruments, Innsbruck, Austria.

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# The ABC of hypoxia – what is the norm

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#### **Summary**

Hypoxia is a condition of levels below oxygen normoxia and opposite to hvperoxia. We here define the normoxic reference state by three complementary precepts: **(A)** ambient

normoxia at sea level in



the contemporary atmosphere and corresponding dissolved O<sub>2</sub> concentrations at air saturation of aqueous environments; **(B)** bioloaical compartmental O<sub>2</sub> levels at ambient normoxia under physiological activity of healthy organisms in the absence of environmental stress (e.g. stress in a diving human, a stranded whale, a thermally stressed fish); and (C) O<sub>2</sub> levels above the respiratory oxygen *control* region. In the oxygen control region, the capacity for O<sub>2</sub> consumption is compromised by hypoxic partial  $O_2$  pressure as evaluated by  $O_2$ kinetics of respiration or other critical functions. The ABC of hypoxia distinguishes deviations from these reference points caused by different mechanisms: ( $\Delta A$ ) *ambient* alterations of oxygen levels; ( $\Delta B$ ) *biological* O<sub>2</sub> demand exceeding O<sub>2</sub> supply under pathological experimental limitations or of convective  $O_2$  transport or  $O_2$  diffusion; and ( $\Delta C$ ) critical oxygen pressure in oxygen kinetics shifted by pathological toxicological and effects or environmental stress. The ABC of hypoxia may be of help in the design and interpretation of in vitro and in vivo experimental studies.

Definitions always leak at the margins, where experts delight in posing counterexamples for their peers to ponder. Fortunately, the typical cases are clear enough that a little fuzziness around the edges does not interfere with the larger picture (Miller 1991).

## **1.** Normoxia: ambient, biological compartments, and mitochondrial respiratory control

The terminology on 'oxia' — from normoxia to hypoxia and anoxia in contrast to hyperoxia — has a long history (Richalet 2021). Yet ambiguities persist. This is not surprising when anthropocentric and clinical perspectives on hypoxia (Burtscher et al 2022) clash with an evolutionary view of life in environments of different oxygen regimes (Mills et al 2022) and advancements in describing mitochondrial oxygen kinetics (Harrison et al 2015). The vagueness of the term hypoxia increases when penetrating further into mitochondrial physiology under conditions prevailing in the intracellular microenvironment in tissues under ambient normoxia: Microenvironmental oxygenation is in stark contrast to the ambient oxygen level in our macroscopic environment, which we often apply uncritically in studies with isolated mitochondria or cultured cells, when ambient normoxia implies effectively hyperoxic experimental conditions (Gnaiger et al 2000; Wenger et al 2015; Fonseca et al 2018; Keeley, Mann 2019).

Attempting to achieve clarity and generality, our proposal for an ABC of hypoxia considers (1) hyperoxic, normoxic, hypoxic, and anoxic conditions in the atmosphere and the hydrosphere down to the intracellular microenvironment, (2) adaptations to oxygen availability in geological time and biological evolution (Lane 2002), and (3) adaption of physiological responses to hypoxia from comparative to exercise physiology in health and disease (Hochachka et al 1993). Here, we pursue a strategy focused on harmonization instead of standardization of terminology, in an attempt to bridge the gap between apparently incompatible points of view.

The consensus view of the 'pathway for oxygen' was distinctly formulated by Ewald Weibel (Weibel 1984). The 'cascade model' for the flow of oxygen by convection and diffusion begins with a gas or aqueous phase, from ambient across an external gas exchanger (i.e., lungs or gills), through the blood and finally to the mitochondrial "sink" (see Figures 1.1 and 1.5 in Weibel 1984). This unifying viewpoint distinguishes **ambient normoxia** from **normoxia in biological compartments** partitioned into organs, tissues, cells, and intracellular microenvironments along the respiratory cascade (Weibel 1984; 2000). Normoxia is not a norm but a reference condition for **respiratory control**, particularly for aerobic (Gnaiger et al 2000) and anaerobic energy metabolism (Gnaiger 1993), the control of redox state (Harrison et al 2015), and for oxygen sensing and hypoxic signaling in different organisms and tissues (Semenza, Wang 1992; Maxwell et al 1999; Clanton et al 2013; Ratcliffe 2022). Long-term evolutionary adaptation and short-term physiological, biochemical, and molecular adaption (acclimation and acclimatization) reset the *functional* normoxic reference points (Hochachka, Somero 2002).

Some articles published previously under the umbrella of *ABC of oxygen* (Bateman 1998; Leach 1998; Peacock 1998; Williams 1998; Wilmshurst 1998) use the *ABC* symbolically and provide overviews on specific areas related to normoxia, hypoxia, and hyperoxia. The present **ABC of hypoxia** links the three letters to the meaning of three complementary perspectives on normoxia: For delimiting normoxia, we distinguish



oxygen conditions in (**A**) the *ambient* environment and (**B**) *biological* compartments, from (**C**) *control* of mitochondrial oxygen consumption and signaling affected by oxygen availability relative to ambient normoxia. This leads to three connected definitions of normoxia, which together provide a reference for deriving three corresponding causes for deviations from normoxic conditions and normoxic function.

#### 2. Systematic definitions of normoxia as a reference for hypoxia

#### 2.1. Categories of normoxia - static reference states

#### A. Ambient normoxia

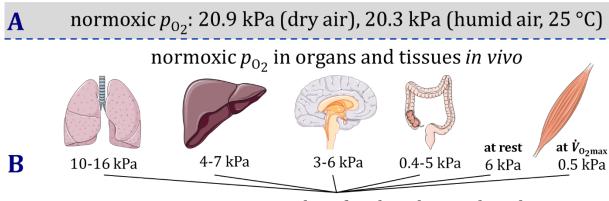
Comparable to referring to sea level for the expression of altitude, ambient normoxia may be defined as a  $p_{02}$  of ~20 kPa (150 mmHg) prevailing at sea level in the environment. Modern sea level is the Earth's dominant elevation (Rowley 2013). We use the SI definition of standard pressure of 100 kPa as the reference barometric pressure and water vapor saturated air (25 °C) as the reference for the ambient normoxic  $p_{02}$  (Figure 1).

	$p_{0_2}$	$c_{0_2}  \Phi_{\mathrm{air}}$		$\Phi_{0_2}$		Figure 1. Deviations		
	kPa mmHg	µmol∙L-1	% air	% O <sub>2</sub>		from ambient normoxia: logarithmic		
pure oxygen	$100  \mathrm{T}^{750}$	1256 eroxic	<b>T</b> <sup>493</sup>	$T^{100}$		scale of partial pressure of oxygen $p_{02}$		
air		noxic 255	100	- 20.3 -		(1 mmHg = 0.133322 kPa). Corresponding		
p <sub>l</sub> brain p <sub>c</sub> heart p <sub>l</sub> myocytes, activ	<b>hyp</b> o ve 1 <b>-</b> 7.5	oxic 12.6	4.9	• 2 • 1		dissolved $O_2$ concentrations $c_{O_2}$ $[\mu M]$ are given for pure		
p <sub>c</sub> myocytes, rest	· · •1	roxic	-1	-0.2	Winkler	water at 25 °C with $O_2$ solubility of 12.56 $\mu$ M/kPa. The volume		
p <sub>c</sub> mitochondria p <sub>1</sub> myocytes, rest	0.01 0.1		-0.1	-0.02	POS	fractions of air $\Phi_{air}$ [% air] and volume		
	0.01	0.1	0.01	-0.002	02k	fractions of $O_2 \Phi_{O_2}$ [% O <sub>2</sub> ] are for the standard barometric		
	0.001 <b>6</b> .01 anoxic?	0.01 · kic?	0.001	-0.0002	guard cathode POS	pressure of 100 kPa and corrected for a 3.2 kPa water vapor		

saturation pressure at 25 °C. Left: some critical and limiting  $p_{02}$ ,  $p_c$  and  $p_l$ , for mammalian tissues and mitochondria. The oxygen control region (Chance 1965) spans from the  $p_c$  to anoxia (0 kPa).  $p_l$  marks the hypoxic region where anaerobic energy metabolism provides an increasing share of total ATP turnover. Right: limit of detection of selected methods (POS: polarographic oxygen sensor; O2k: Oroboros Oxygraph-2k). Modified after Gnaiger (1991).

### B. Biological compartmental normoxia – in compartments along the respiratory cascade

We propose to define biological compartmental normoxia as the  $p_{02}$  in any compartment along the respiratory cascade of a living animal (alveolar, arterial, capillary, interstitial, intracellular, venous, mixed-venous) observed at ambient normoxia under physiological activity. Biological compartmental  $p_{02}$  is a function of aerobic metabolic activity and  $O_2$  transport from the environment to the various compartments of an organism (Weibel 2000; Keeley, Mann 2019; Ortiz-Prado et al 2019; Poole et al 2020). Therefore, biological compartmental normoxia fluctuates within a range that is specific for the tissue (Figure 2). Compartmental  $p_{02}$  may be regulated to remain within normoxic values up to critical degrees of ambient hypoxia (Grocott et al 2009). In some biological compartments  $p_{02}$  is far lower compared to ambient oxygen levels (A) but may be effectively normoxic in terms of respiratory control (defined in **C**).



### normoxic $p_{0_2}$ in media of isolated mitochondria



### **A** 20 kPa hyperoxic $p_{0_2}$ in media of isolated mitochondria

**Figure 2. Ambient normoxia (A) and biological compartmental normoxia (B):** Biological compartmental *p*<sub>02</sub> is a function of aerobic metabolic activity and O<sub>2</sub> transport from the environment to the various compartments of an organism at ambient normoxia. Biological compartmental normoxia fluctuates within a range that is specific for the tissue. Typical *p*<sub>02</sub> ranges for the lung, liver, brain, and intestine (after Keeley, Mann 2019). An example for the dependence on physiological activity is shown for skeletal muscle (Richardson et al 1995) for which biological compartmental normoxia ranges from 6 kPa to 0.5 kPa as a function of contractile activity. In the cerebral cortex, however, an increase in neuronal O<sub>2</sub> consumption may be overcompensated by O<sub>2</sub> supply, known as the oxygen paradox of neurovascular coupling (Leithner, Royl 2014). Tissue-specific oxygen regimes apply for studying normoxia in mitochondria in tissues *in vivo* or in mimetic oxygen regimes (convergent lines) after isolation of mitochondria from tissues. In contrast, exposure of isolated mitochondria to ambient normoxia is an effectively hyperoxic condition.



In isolated or cultured living cells and mitochondrial preparations – including isolated mitochondria, tissue homogenates, and permeabilized cells and tissues – ambient normoxia at air saturation of the incubation media (**A**) must be distinguished from biologically relevant normoxia as defined by the oxygen pressure prevailing in the cellular and mitochondrial microenvironment in the tissue inside the intact organism (**B**; Figure 2).

At ambient normoxia, intracellular  $p_{02}$  is a function of oxygen demand and oxygen supply to the cell. Biological compartmental normoxia further varies depending on physiological activity, from aerobic resting or routine steady-state activity up to maximum aerobic activity  $\dot{V}_{02max}$  sustained for only a few minutes. Routine respiration (Chabot et al 2016; Nelson 2016) is higher than standard or basal respiration due to the oxygen consumption required to sustain various routine activities, not restricted to locomotory activity but e.g. also including the effects of food intake. In cell cultures or isolated mitochondria, the concept of 'environmental normoxia' becomes ambiguous without a clear distinction between ambient normoxia from the perspective of the whole organism and experimental normoxia that mimics the corresponding extracellular or intracellular microenvironment in vivo (Figure 2). ROUTINE respiration of living cells (Gnaiger 2020) is physiologically controlled by aerobic energy demand ranging from the minimum of LEAK respiration to the maximum of OXPHOS capacity (Gnaiger et al 2020). O<sub>2</sub> concentration in biological compartments of the living organism varies even under ambient normoxia as a function of changing metabolic  $O_2$  demand and supply. Correspondingly, normoxic oxygen conditions provided experimentally for cultured cells studied in ROUTINE, LEAK, and OXPHOS states need to be adjusted to the in vivo activitydependent compartmental  $p_{02}$  in the tissues of origin. The paradigm of ambient normoxia defines normoxic performance as the biological response that does not deviate from the physiological function measured under (A) ambient normoxia for the whole organism and (B) normoxia in biological compartments of the whole organism or mimetic biological normoxia in experiments with isolated cells and mitochondrial preparations.

#### C. Control of respiration - normoxia evaluated by function: functional normoxia

Control of respiration by  $O_2$  pressure  $p_{O_2}$  or  $O_2$  concentration  $c_{O_2}$  introduces a kinetic perspective with reference to kinetically saturating  $O_2$  levels. Normoxic respiration can thus be defined as respiration at kinetic oxygen saturation, and hypoxic respiration is respiration below a critical oxygen pressure  $p_c$ , when the  $p_{O_2}$  exerts control and respiration shows oxyconformance in the oxygen control region, i.e. from  $p_c$  to anoxia (Figure 3). Intracellular hypoxia is defined as local oxygen pressure below normoxic reference states (**B**), or limitation of mitochondrial respiration by oxygen levels below kinetic saturation, resulting in oxyconformance (**C**). "The high affinity of cytochrome *c* oxidase for oxygen implies independence of mitochondrial respiration of oxygen over a wide range of oxygen levels, which gives rise to the paradigm of "oxygen regulation", although "kinetic oxygen saturation" describes more accurately the underlying mechanism' (Gnaiger 2003).

Whereas normoxic respiration of isolated mitochondria can be measured as a constant rate in a wide range of  $O_2$  concentrations (oxyregulation),  $H_2O_2$  production is a continuous function of  $O_2$  concentration (oxyconformance; Komlódi et al 2021). Unlike nearly constant mitochondrial respiration above the  $p_c$ , a corresponding  $p_c$  cannot be defined for  $H_2O_2$  production (Komlódi et al 2021). It is for this reason that we do not use ROS production but oxygen control of respiration to define functional normoxia.

Conditions defined as normoxic from one perspective (e.g., A ambient) are not necessarily classified the same from other perspectives: O<sub>2</sub> levels are low under ambient normoxia in several biological compartments (**B**), while control of mitochondrial oxygen consumption (C) by intracellular  $p_{02}$  only becomes effective below 1 kPa or 1 %  $O_2$  (5 % air saturation, i.e. at a  $p_{02}$  which corresponds to a gas mixture containing 5 % air and 95 % of another gas not containing  $O_2$ ; Figure 1).  $O_2$  flux is half-maximal at a  $p_{50}$  of 0.02 kPa in isolated mitochondria respiring at OXPHOS capacity (Harrison et al 2015). Hence functional hypoxia is restricted to very low intracellular  $p_{02}$  described as the 'oxygen control region' (see Figure 5 in Chance 1965). As a consequence, relative to ambient normoxia (A), moderately hypoxic cell culture conditions (Gstraunthaler et al 1999; Al-Ani et al 2018; Klein et al 2021; DiProspero et al 2021) may actually still be hyperoxic compared to tissue conditions *in vivo* (**B**), but should be considered normoxic if the  $p_{02}$  is above the control region and thus above the critical  $p_{02}$ ,  $p_c$ , of mitochondrial respiration (C). Respiration drops sharply below the  $p_c$ . If we consider as normoxic any intracellular  $p_{\rm c}$  (**B**) that is obtained at any physiological activity level of a healthy organism at ambient normoxia (A), then this definition of normoxia contrasts with the notion of physiologically induced tissue hypoxia, if the low intracellular  $p_{02}$  drops below the  $p_c$  of mitochondrial respiration (C).

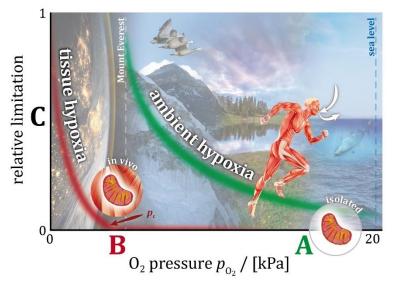


Figure 3. The ABC of hypoxia: Ambient  $p_{02}$  (A, green line) and intracellular  $p_{02}$  in tissues in vivo (**B**, red line) exert different limitations on critical physiological functions (C). At any ambient  $p_{02}$ , intracellular  $p_{02}$  varies between tissues and as function of aerobic а metabolic activity. Compared with *in vivo* conditions (**B**) mitochondria isolated are hyperoxic at ambient normoxia **(A)**.

#### 2.2. Causes of deviations from normoxia - dynamic categories

Based on the reference states: environmental (**A ambient**), compartmental (**B biological**), and functional normoxia (**C control**), the causes for deviations from normoxia can be distinguished by three categories: ( $\Delta$ **A**) ambient hypoxia and hyperoxia, ( $\Delta$ **B**) biological compartment hypoxia and hyperoxia, and ( $\Delta$ **C**) hypoxia and hyperoxia induced by changes of critical functions such as respiration (Table 1).

Whole animal maximum aerobic activity ( $\dot{V}_{02max}$ ) may induce compartmental hypoxia, gauged from a comparison of intracellular  $p_{02}$  — which declines at  $\dot{V}_{02max}$  in contracting skeletal muscle relative to routine activity (Richardson et al 2006) — and oxygen kinetics of isolated mitochondria (Gnaiger 2001; Harrison et al 2015). Since OXPHOS capacity (Gnaiger et al 2020) of isolated mitochondria is already slightly limited at intracellular tissue  $p_{02}$  observed at  $\dot{V}_{02max}$ , a high workload can entail physiological hypoxia in muscle (Richardson et al 1999; Richardson 2000). In other tissues, such as the



brain, the effect of changes in cellular activity on tissue  $p_{02}$  are less clear (Keeley, Mann 2019). At differing ambient  $p_{02}$  the fractional limitations of  $\dot{V}_{02max}$  may be redistributed amongst compartments of the respiratory cascade (di Prampero, Ferretti 1995).  $\dot{V}_{02max}$  cannot be maintained over prolonged periods of time, such that upon functionally induced hypoxia the organism returns to a normoxic steady state.

**A** and  $\Delta A$  appear similar, distinguished only as (**A**) a description of a state in terms of a given  $p_{02}$  (static), in contrast to ( $\Delta A$ ) including the causes for deviations of the  $p_{02}$  from normoxia (dynamic). Therefore, **A** and  $\Delta A$  are tightly linked (Table 1).

Oxia category	Deviation from normoxia	Examples for deviations from normoxia			
<b>A</b> Ambient	<b>ΔA</b> ambient hypoxia or hyperoxia	<ul> <li>hypobaric conditions: high altitude or low-pressure chamber</li> <li>hyperbaric conditions: high-pressure chamber, diving</li> </ul>			
	пурегохіа	<ul> <li>normobaric conditions: O<sub>2</sub> deprivation in the environment (environmental normobaric hypoxia), O<sub>2</sub> supplementation (environmental normobaric hyperoxia)</li> </ul>			
<b>B</b> biological compartment	<b>ΔB</b> biological compartment	<ul> <li>ambient-induced hypoxia or hyperoxia on the compartmental level (living organism)</li> </ul>			
	hypoxia or hyperoxia	<ul> <li>pathologically and toxicologically induced hypoxia or hyperoxia on the compartmental level (living organism)</li> </ul>			
		<ul> <li>experimental for isolated organs, tissues, cells, and organelles: deviations of incubation p<sub>02</sub> of experimental preparations from (B) compartmental normoxia in the intact organism</li> </ul>			
C control of respiration	<b>ΔC</b> critical function-induced	<ul> <li>environmental: respiratory O<sub>2</sub> depletion or photosynthetic O<sub>2</sub> accumulation in eutrophic aqueous environments (Gnaiger 1983)</li> </ul>			
	hypoxia or hyperoxia	<ul> <li>physiologically induced on the compartmental level. Hypoxia: tissue- work related; living organism at high workload of a tissue; (mal)adaptive responses of the respiratory cascade to (de)training and lifestyle. Hyperoxia: endosymbiotic algae at high light intensities (e.g. corals)</li> </ul>			
		<ul> <li>pathological-pharmacological-toxicological O<sub>2</sub>-transport related hypoxia (ischemia and stroke, anaemia, chronic heart disease, chronic obstructive pulmonary disease, disordered regional distribution of blood flow, obstructive sleep apnea, CO poisoning), inhibition or acceleration of O<sub>2</sub>- linked pathways (cyanide, rotenone, NO,; doping,)</li> </ul>			
		<ul> <li>genetic: inhibition or acceleration of O<sub>2</sub>-linked pathways (mutations, inherited diseases, knock-out, knock-in)</li> </ul>			

Table 1. Reference states (static) and causes of deviations (dynamic) from normoxia

#### 2.3. Extents of hypoxia: approaching anoxia

The continuous transition of hypoxia to anoxia is best represented on a logarithmic scale of  $p_{02}$  (Figure 1). Respiration declines below the critical  $p_{02}$ ,  $p_c$ , and anaerobic metabolism is stimulated below the limiting  $p_{02}$ ,  $p_1$  (Gnaiger 1991). If the transition to anoxia is of interest, then further differentiation of deep hypoxia (microxia) and anoxia is made, taking into account the limit of detection of methods applied for determining  $p_{02}$  and different methods to detect functional responses to the presence (deep hypoxia) or absence (anoxia) of trace amounts of oxygen (Gnaiger 1993; Harrison et al 2015). Oxic versus anoxic conditions (in the presence or absence of molecular oxygen) must be distinguished from aerobic and anaerobic metabolism. Aerobic metabolism requires oxic conditions, whereas anaerobic glycolysis (glucose  $\rightarrow$  lactate) may proceed under oxic conditions or under anoxia (anaerobic glycolysis; Poole et al 2021; Brooks et al 2022).

### 2.4. Extents of hyperoxia: experimental conditions for studies of cultured cells and isolated mitochondria

There is concern that the wide application of hyperoxic conditions in cell culture and bioenergetics hinders the translation of *ex vivo* experimental findings to *in vivo* contexts

(Keeley, Mann 2019). Striking examples are the use of ambient normoxia in cell cultures (Keeley, Mann 2019) or in studies of isolated mitochondria (Gnaiger et al 1998). Whereas effectively hyperoxic conditions may exert negligible short-term consequences on mitochondrial oxygen consumption, the immediate effects of oxygen levels on mitochondrial efficiency (Gnaiger et al 2000), redox states (Harrison et al 2015), and reactive oxygen species production (Komlódi et al 2021) underline the importance of choosing appropriate experimental oxygen conditions depending on the research question addressed. Further evidence of oxygen control of molecular signaling (Jiang et al 1996) and numerous cellular processes (Keeley et al 2018) suggest that *ex vivo* experimental conditions for tissues, cells, and mitochondria ought to be in the  $p_{02}$  ranges of biological compartments observed in the intact organism (**B**).

#### 2.5. Oxygen 'levels' - partial pressure and concentration of oxygen

Any discussion of the concept of 'oxia' – and consideration of terrestrial and aqueous organisms – would be incomplete without addressing the issue of expressing  $O_2$  'levels' in terms of amount, *i.e.*, concentration  $c_{02}$  in units [mol·dm<sup>-3</sup>  $\stackrel{\text{def}}{=}$  M] or partial pressure  $p_{02}$  in SI units [J·m<sup>-3</sup>  $\stackrel{\text{def}}{=}$  Pa]. We have used the term 'oxygen levels' to relate equally to oxygen concentration and the partial pressure of oxygen. Indeed,  $O_2$ , whether as a gas or a dissolved gas can be expressed in concentration or partial pressure. However, there are arguments and reasons for the use of one rather than the other under specific conditions.

At standard ambient normoxia (100 kPa barometric pressure), the concentration of O<sub>2</sub> in humid air at 25 °C is 8.18 mM. This follows from the calculation of the partial oxygen pressure in humid air,  $p_{02} = 0.20946 \cdot (100-3.17)$  kPa = 20.28 kPa — where the saturating water vapor pressure is 3.17 kPa at 25 °C — and division of  $p_{02}$  by *RT* from the ideal gas equation in the form  $c_{02} = p_{02}/RT$ . In contrast, the O<sub>2</sub> concentration in air-saturated pure water is 0.255 mM (Figure 1; Supplement S1).

Oxygen solubility  $S_{02}$  [µM/kPa] expresses the O<sub>2</sub> concentration in solution  $c_{02}$ (aq) in equilibrium with the oxygen pressure in a gas phase, as a function of temperature and composition of the solution.  $S_{02}$ (aq) in pure water is 12.56 µM/kPa at 25 °C and 10.56 µM/kPa at 37 °C (Wilhelm et al 1977). The oxygen solubility  $S_{02}$  in serum is 9.40 µM/kPa or 0.89 relative to pure water at 37 °C (Baumgärtl, Lübbers 1983). At room temperature or 37 °C, therefore, the concentration of oxygen is 35- to 41-fold higher in the gas phase compared to the aqueous phase of representative physiological salt solutions or serum in equilibrium with the gas phase. This is one of the physicochemical reasons why tracheal oxygen supply through the gas phase is very effective in supporting high oxygen demand of flying insects, and why mammals need red blood cells with hemoglobin to boost the total amount of oxygen carried per volume of blood (Weibel 1984).

For convective  $O_2$  transport, the total  $O_2$  concentration in the medium that is moved from the source to the sink matters. A larger amount of molecular  $O_2$  is transported per volume of gas compared to a volume of aqueous solution. Given the low  $S_{O_2}$  in serum, high affinity  $O_2$  carriers such as hemoglobin greatly enhance the convectional efficacy by increasing the total amount of  $O_2$  transported by a volume of blood. Just having a carrier is not sufficient. The regulation of loading of the carrier with  $O_2$  at the source and unloading at the sink are essential. The interaction between the sigmoidal shape of the hemoglobin  $O_2$  dissociation curve and the Bohr effect is the obvious mammalian example.



In diffusion,  $O_2$  is transferred across the medium driven by the partial  $O_2$  pressure gradient. Diffusion may be facilitated by  $O_2$  carriers such as myoglobin, again dependent on the loading/unloading kinetics. The  $O_2$  solubility is a decisive component of  $O_2$  transfer by diffusion (Hitchman, Gnaiger 1983), implicit in the diffusion coefficient or mobility (Gnaiger 2020).

Within the framework of the ABC of hypoxia we propose that oxygen levels are presented as partial pressures in SI units [J·m<sup>-3</sup>  $\stackrel{\text{def}}{=}$  Pa]. Our reasoning is as follows: (**A**) the  $p_{02}$  at ambient normoxia in the atmosphere and corresponding dissolved  $O_2$  concentration at air saturation of aqueous environments are identical despite a 40-fold difference in concentration, (**B**) the driver for oxygen transport between biological compartments of the respiratory cascade is the partial  $O_2$  pressure difference, and (**C**) oxygen kinetics controlling mitochondrial oxygen consumption are described by the dependence of respiration on partial  $O_2$  pressure.

#### 3. Conclusions

'Full standardisation of definitions and analytical procedures could be feasible for new research efforts. For existing datasets and studies, harmonisation attempts to achieve some, but not necessarily perfect, homogeneity of definitions might need substantial effort and coordination' (Ioannidis et al 2014).

The concept of harmonization instead of standardization of terminology pursues a strategy that may be commonly acceptable across apparently incompatible points of view: instead of proposing a guideline on terminology, the ABC of hypoxia and corresponding norms is intended to (1) bridge the gap between different points of view (*static*: **A** versus **B** versus **C**) and (2) clarify the causes and processes of altered oxygen availability and supply (*dynamic*:  $\Delta A$  versus  $\Delta B$  versus  $\Delta C$ ), and (3) provide a simple framework for the labelling and communication of O<sub>2</sub> concentrations and pressures at various levels and across disciplines. Each investigator may consider if the important field of oxygen-regulated biological function will gain (4) from a consensus on general definitions provided by the ABC of hypoxia. Clarification of concepts aims at resolving current controversies to facilitate future research.

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#### **Supplement**

# **S1.** Oxygen pressure and concentration in the gas phase and aqueous solutions at normoxia

Table S1. Normoxia:  $O_2$  partial pressure  $p_{O_2}$  and concentration  $c_{O_2}$  in the gas phase and aqueous solutions. For  $O_2$  solubilities  $S_{O_2}(aq)$ , see Forstner, Gnaiger (1983). For calculations, see Open Access data repository: <u>https://zenodo.org/record/7310084#.Y2zW0eTMLVh</u>

Т	Т	RT	c <sub>02</sub> (dry air)	р <sub>н20</sub> *	p <sub>02</sub> (humid air)	c <sub>02</sub> (humid air)	c <sub>02</sub> (aq)	c <sub>02</sub> (aq)	S <sub>02</sub> (aq)	c <sub>02</sub> (MiR05)	c <sub>02</sub> ratio
/ [°C]	/ [K]	/ [kJ·mol <sup>-1</sup> ]	/ [mM]	/ [kPa]	/ [kPa]	/ [mM]	/ [μM]	/ [µM]	/ [µM/kPa]	/ [µM]	air/MiR05
	273.15		at 100 kPa		at 100 kPa	at 100 kPa	at 1 atm	at 100 kPa		at 100 kPa	
0	273.15	2.271	9.22	0.61	20.82	9.17	456.9	450.9	21.66	414.8 #	22.1
5	278.15	2.313	9.06	0.87	20.76	8.98	399.1	393.9	18.97	362.3 #	24.8
10	283.15	2.354	8.90	1.23	20.69	8.79	352.8	348.1	16.83	320.3 #	27.4
15	288.15	2.396	8.74	1.70	20.59	8.59	315.1	311.0	15.10	286.1 #	30.0
20	293.15	2.437	8.59	2.34	20.46	8.39	284.2	280.4	13.71	257.9 #	32.5
25	298.15	2.479	8.45	3.17	20.28	8.18	258.3	254.8	12.56	234.4	34.9
30	303.15	2.521	8.31	4.24	20.06	7.96	236.2	233.0	11.62	214.4	37.1
35	308.15	2.562	8.18	5.62	19.77	7.72	217.2	214.2	10.83	197.0	39.2
37	310.15	2.579	8.12	6.27	19.63	7.61	210.2	207.3	10.56	190.7	39.9
40	313.15	2.604	8.04	7.38	19.40	7.45	200.4	197.6	10.18	181.8	41.0

Gas constant R = 8.314462618 J·mol<sup>-1</sup>; 1 atm = 101.325 kPa (Bureau International des Poids et Mesures 2019)

- \*  $p_{\rm H_20}$ \* is the water vapor saturation pressure. Humid air refers to water vapor saturated air. O<sub>2</sub> fraction  $\Phi_{02}$  in dry air = 0.20946.
- <sup>#</sup> Extrapolated to low temperatures. The  $O_2$  solubility in MiR05 is 0.92 times  $S_{O_2}(aq)$ .

#### S2. Harmonization with terms in the literature

#### S2.1. Definitions of hypoxia

Several definitions of hypoxia are restricted to a single category or specific combination of categories and lack, therefore, generality.

**C** and **\DeltaC**: Hypoxia is defined as functional hypoxia by the European Environmental Agency as "a state of low oxygen concentration in water and sediments, relative to the needs of most aerobic species" (<u>https://www.eea.europa.eu/help/glossary/chm-biodiversity/hypoxia</u>; retrieved 2022-05-21).

A or B and  $\Delta C$ : Hypoxia - 'a condition in which there is not enough oxygen available to the blood and body tissues'

(https://dictionary.cambridge.org/dictionary/english/hypoxia, retrieved 2022-05-21).

A or **B** and  $\Delta C$ : Hypoxia – 'deficiency in the amount of oxygen delivered to the body tissues' (<u>https://www.collinsdictionary.com/dictionary/english/hypoxia</u>, retrieved 2022-05-21)

**A** or **B** and **ΔC**: Hypoxia – 'a deficiency of oxygen reaching the tissues of the body' (<u>https://www.merriam-webster.com/dictionary/hypoxia</u>, retrieved 2022-05-21)

#### S2.2. Various 'oxia' terms

Various 'oxia' terms are used in the literature to point out a particular ABC category. Our work aims at simplifying the nomenclature without loss of conceptual detail. For harmonization, the following 'oxia' terms are linked to the ABC categories. The history of the terms related to hypoxia has been reviewed from a clinical and high-altitude medicine perspective by Richalet (2021). He refers to Opitz (1941): 'In 1941, Opitz says, in the introduction of his review paper "Über akute Hypoxie" (About acute hypoxia): "Die Bezeichnung 'Hypoxie' soll immer dann verwendet werden, wenn die Sauerstoffversorgung der Gewebe gegenüber der Norm erschwert ist." (The term "hypoxia" should always be used when the oxygen supply to the tissues is more difficult than the norm).' This definition even includes 'silent hypoxia' ascribed to SARS-CoV-2 infected patients without symptoms of dyspnoea yet low O<sub>2</sub> saturation in the blood (Rahman et al 2021).

The early book 'Anoxia' (van Liere 1942) was published later under the title 'Hypoxia' (van Liere EJ, Stickney JC 1963) with an identical table of contents and largely identical text, mainly replacing the term anoxia by hypoxia.

**B**: "Physoxia: physiological oxygen level in peripheral tissues with an average of approximately 6 % (ranging from approximately 7.5 % to 4 % depending on the tissue; lower limit approximately 1 %). For experimental studies, 5 % is the proposed compromise since this is often used" (McKeown 2014). — The term 'physoxia' or 'physioxia' (Carreau et al 2011) suggests physiological control in contrast to responses to ambient hypoxia. Without further specification, physoxia may be interpreted as (**B** and **ΔA**) compartmental oxygen levels under any environmental conditions, (**B** and **ΔC**) for any level of physiological activity, and (**B**, **ΔA**, and **ΔC**) their combination (e.g., muscle  $p_{02}$  at  $\dot{V}_{02max}$  at high altitude). In addition, physoxia does not separate the categories **B** and **C** of normoxia, and it may include any pathological cause of deviation from normoxia.

**C** and **\DeltaB**: "Pathological hypoxia: shows persistence of poor oxygenation suggesting disruption to normal homeostasis. Below this level pathological hypoxia applies" (McKeown 2014). Besides regulation of hypoxia response genes, the critical physiological function should be specified. — High altitude exposure may result in prolonged poor oxygenation of tissues. But is this pathological hypoxia?

**A** and **B**: Under the term 'anoxic anoxia' 48 results were retrieved in a PubMed search, one from 2000 and all others from the 1990's and older (retrieved 2022-05-20). Anoxic anoxia, 'true anoxia' (Krumschnabel et al 1997; Ossum et al 2006), or acute anoxic anoxia refer to the use of N<sub>2</sub> to decrease the O<sub>2</sub> concentration. Ludvigsen and Folkow (2009) refer to true and chemical anoxia for the combination of cyanide & N<sub>2</sub>. Physical hypoxia is used in the context of cell culture, when O<sub>2</sub> concentrations were kept low (Zhao et al 2019; Wu et al 2020). Based on the electrolysis of H<sub>2</sub>O, gaseous H<sub>2</sub> can be used instead of N<sub>2</sub> to lower experimental O<sub>2</sub> concentrations (Schmitt et al 2022).

#### S2.3. Chemical anoxia and hypoxia

The concept of 'chemical anoxia' is based on inhibitors of the electron transfer system without concern of O<sub>2</sub> concentrations. As such, chemical anoxia fits into category **\Delta C** (inhibition of O<sub>2</sub>-linked pathways; Table 1). A PubMed search for the term 'chemical anoxia' retrieved 73 results (2022-05-20). Several inhibitors of the electron transfer system are used — such as cyanide, azide, rotenone, antimycin A, deoxyglucose, iodoacetate, 3-nitropropionic acid, alone or in combination — preventing mitochondrial



electron transfer to  $O_2$  and hence inhibiting respiration. This is the state of residual oxygen consumption ROX in mitochondrial physiology (Gnaiger et al 2020).

452 results were retrieved for 'chemical hypoxia' (PubMed, 2022-05-20), where the majority uses cobalt as a hypoxia mimetic. Cobalt stabilizes hypoxia-inducible factors  $1\alpha$  and  $2\alpha$  under normoxic conditions (Muñoz-Sánchez, Chánez-Cárdenas 2019). Yet some publications on chemical hypoxia use the same inhibitors mentioned above for chemical anoxia and additionally deferoxamine, dimethyloxaloylglycine, 2,4-dinitrophenol, and isoflurane (Nowak-Stępniowska et al 2022). In one case 'chemical hypoxia' and 'chemical ischemia' are used synonymously (Iwai et al 2018).

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